

Automated Planning

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Introduction

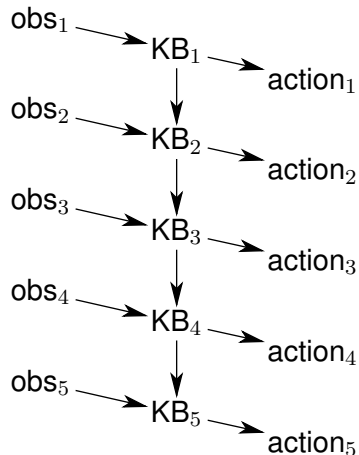
Transition
systems

Planning with
SAT

Symbolic
Methods

State-space
search

What is planning?



Planning is **decision making** about which actions to take.

- knowledge base (KB) about the world
- general-purpose problem representation (PDDL, logic, ...)
- algorithms for solving any problem expressible in the representation

Introduction

Transition systems

Planning with SAT

Symbolic Methods

State-space search

What is planning?

Application areas:

- control of complex technical systems:
 - autonomous spacecraft (NASA Deep Space One)
 - utilities (recovery from electricity network outages)
 - intelligent manufacturing systems
- high-level planning for intelligent robots
- problem-solving (games like Rubik's cube)
- related problems: scheduling, time-tabling, ...

Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

State-space
search

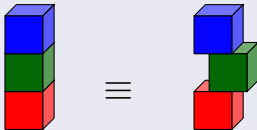
Blocks world

The states

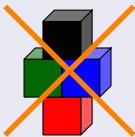
Location on the table does not matter



Location on a block does not matter



At most one block on/under a block is allowed



Introduction

Transition
systems

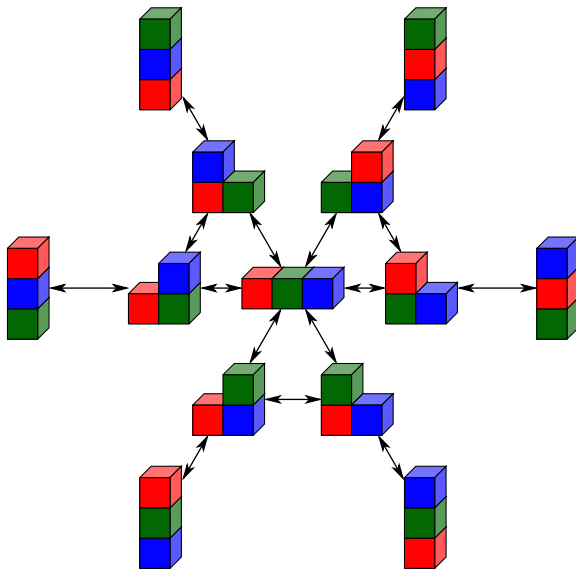
Planning with
SAT

Symbolic
Methods

State-space
search

Blocks world

The transition graph for three blocks



Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

State-space
search

Why is planning difficult?

- Solutions to simplest planning problems are **paths from an initial state to a goal state** in the transition graph. Efficiently solvable e.g. by Dijkstra's algorithm in $O(n \log n)$ time.
- Q: Why don't we solve all planning problems this way?
- A: State spaces are often huge: $10^9, 10^{12}, 10^{15}, \dots$ states. Constructing the transition graph explicitly is not feasible!!
- Planning algorithms often are – but are not guaranteed to be – more efficient than the obvious solution method of constructing the transition graph + running e.g. Dijkstra's algorithm.

Introduction

Transition systems

Planning with SAT

Symbolic Methods

State-space search

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Introduction

Transition systems

Planning with SAT

Symbolic Methods

State-space search

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Introduction

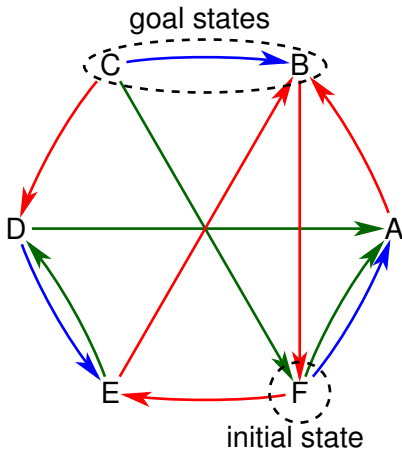
Transition systems

Planning with SAT

Symbolic Methods

State-space search

Transition systems



Introduction

Transition systems

Definition

State variables

Actions

Plans

Planning with SAT

Symbolic Methods

State-space search

Representation of transition systems

- state = valuation of a **finite set** of state variables

Example

HOUR : $\{0, \dots, 23\} = 13$

MINUTE : $\{0, \dots, 59\} = 55$

LOCATION : $\{51, 52, 82, 101, 102\} = 101$

WEATHER : $\{\text{sunny, cloudy, rainy}\} = \text{cloudy}$

HOLIDAY : $\{T, F\} = F$

- Any n -valued state variable can be represented by $\lceil \log_2 n \rceil$ Boolean (2-valued) state variables.
- Actions change the values of the state variables.

Introduction

Transition systems

Definition

State variables

Actions

Plans

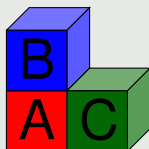
Planning with SAT

Symbolic Methods

State-space search

Blocks world with Boolean state variables

Example



$s(\text{clearA}) = 0$	$s(\text{clearB}) = 1$	$s(\text{clearC}) = 1$
$s(\text{AonB}) = 0$	$s(\text{AonC}) = 0$	$s(\text{AonTABLE}) = 1$
$s(\text{BonA}) = 1$	$s(\text{BonC}) = 0$	$s(\text{BonTABLE}) = 0$
$s(\text{ConA}) = 0$	$s(\text{ConB}) = 0$	$s(\text{ConTABLE}) = 1$

Not all valuations correspond to an intended state, e.g. if $s(\text{AonB}) = 1$ and $s(\text{BonA}) = 1$.

Introduction

Transition
systems

Definition

State variables

Actions

Plans

Planning with
SAT

Symbolic
Methods

State-space
search

Actions

Precondition

A Boolean combination (\vee, \wedge, \neg) of atomic formulas $x = v$ where x is a state variable and v is a value 0 or 1.

Effects

A collection of assignments and conditional assignments

$x := v$

IF ϕ THEN $x := v$

Assumptions:

- All assignments in an effect are made simultaneously.
- Only one occurrence of every assignment $x := v$:
 - $x := v$ is equivalent to IF \top THEN $x := v$.
 - Assignments IF ϕ THEN $x := v$ and IF ϕ' THEN $x := v$ can be combined to IF $\phi \vee \phi'$ THEN $x := v$.

Introduction

Transition systems

Definition

State variables

Actions

Plans

Planning with SAT

Symbolic Methods

State-space search

Actions

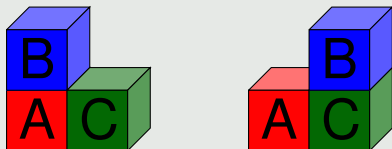
Example

We abbreviate $x = 1$ by x and $x = 0$ by $\neg x$, and similarly $x := 1$ by x and $x := 0$ by $\neg x$.

Example

Action for moving B from A to C :

$\langle \text{BonA} \wedge \text{clearB} \wedge \text{clearC}, \{ \text{BonC}, \text{clearA}, \neg \text{BonA}, \neg \text{clearC} \} \rangle$.



Introduction

Transition
systems

Definition

State variables

Actions

Plans

Planning with
SAT

Symbolic
Methods

State-space
search

Actions

Active effects

Active effects of an action

For an action $\langle p, e \rangle$ and state s , $[e]_s$ consists of

$$\left\{ \begin{array}{l} x, \quad \text{for } x := 1 \text{ in } e \\ \neg x, \quad \text{for } x := 0 \text{ in } e \\ x, \quad \text{for IF } \phi \text{ THEN } x := 1 \text{ in } e \text{ and } s \models \phi \\ \neg x, \quad \text{for IF } \phi \text{ THEN } x := 0 \text{ in } e \text{ and } s \models \phi \end{array} \right.$$

Executability of an action

$\langle p, e \rangle$ is **executable in a state** s iff $s \models p$ and $[e]_s$ is consistent.

Introduction

Transition
systems

Definition

State variables

Actions

Plans

Planning with
SAT

Symbolic
Methods

State-space
search

Actions

The successor state of a state

Successor states

The **successor state** $exec_o(s)$ of s with respect to $o = \langle p, e \rangle$ is obtained from s by making the literals $[e]_s$ true.

This is defined only if o is executable in s .

Example

$\langle a, \{\neg a, b\} \rangle$ is executable in state s such that $s \models a \wedge b \wedge c$ because $s \models a$ and $\{\neg a, b\}$ is consistent.

Hence $exec_{\langle a, \{\neg a, b\} \rangle}(s) \models \neg a \wedge b \wedge c$.

Introduction

Transition
systems

Definition

State variables

Actions

Plans

Planning with
SAT

Symbolic
Methods

State-space
search

Transition systems

Transition system $\langle A, I, O, G \rangle$

- A is a finite set of **state variables**,
- I is an **initial state** (a valuation of A),
- O is a set of **actions** over A ,
- G is a formula over A , the **goal**.

Introduction

Transition
systems

Definition

State variables

Actions

Plans

Planning with
SAT

Symbolic
Methods

State-space
search

Plans

A **plan** for $\langle A, I, O, G \rangle$ is a sequence $\pi = o_1, \dots, o_n$ of actions such that $o_1, \dots, o_n \in O$ and there is a sequence of states s_0, \dots, s_n (the **execution** of π) so that

- 1 $s_0 = I$,
- 2 $s_i = \text{exec}_{o_i}(s_{i-1})$ for every $i \in \{1, \dots, n\}$, and
- 3 $s_n \models G$.

This can be equivalently expressed as

$$\text{exec}_{o_n}(\text{exec}_{o_{n-1}}(\dots \text{exec}_{o_1}(I) \dots)) \models G.$$

Planning in the propositional logic

- Planning by **satisfiability testing** in the propositional logic:
A planning problem is translated into a formula (with parameter t) that is satisfiable if and only if a plan of a length t exists.
- Benefits:
 - 1 Upper bound t constrains the set of possible plans very strongly, which often makes plan search much easier.
 - 2 There are very efficient algorithm implementations for satisfiability: zChaff, MiniSAT, ...

Introduction

Transition systems

Planning with SAT

Action as formulae

Plans in PL

Parallel plans

Example

Symbolic Methods

State-space search

Actions as formulae

Idea

Propositional variables a, b, c, \dots for **old** and a', b', c', \dots for **new** values of state variables.

$$\begin{aligned} & (\text{BonA} \wedge \text{clearB} \wedge \text{clearC}) \\ & \wedge \text{BonC}' \wedge \text{clearA}' \wedge \neg \text{BonA}' \wedge \neg \text{clearC}' \\ & \wedge (\text{clearB} \leftrightarrow \text{clearB}') \\ & \wedge (\text{AonB} \leftrightarrow \text{AonB}') \\ & \wedge (\text{AonC} \leftrightarrow \text{AonC}') \\ & \wedge (\text{AonTABLE} \leftrightarrow \text{AonTABLE}') \\ & \wedge (\text{BonTABLE} \leftrightarrow \text{BonTABLE}') \\ & \wedge (\text{ConA} \leftrightarrow \text{ConA}') \\ & \wedge (\text{ConB} \leftrightarrow \text{ConB}') \\ & \wedge (\text{ConTABLE} \leftrightarrow \text{ConTABLE}') \end{aligned}$$

precondition
effects
state variables
that do not change

Introduction

Transition
systems

Planning with
SAT

Action as formulae

Plans in PL

Parallel plans

Example

Symbolic
Methods

State-space
search

Representation of one event/action

Changes to state variables

effect of e on a	translation $f_e(a)$
-	$a \leftrightarrow a'$
$a := 1$	a'
$a := 0$	$\neg a'$
<i>IF</i> ϕ <i>THEN</i> $a := 1$	$(a \vee \phi) \leftrightarrow a'$
<i>IF</i> ϕ <i>THEN</i> $a := 0$	$(a \wedge \neg \phi) \leftrightarrow a'$
<i>IF</i> ϕ_1 <i>THEN</i> $a := 1$; <i>IF</i> ϕ_0 <i>THEN</i> $a := 0$	$(\phi_1 \vee (a \wedge \neg \phi_0)) \leftrightarrow a'$

A formula for one event/action e is now defined as

$$F(e) = \phi \wedge \bigwedge_{a \in A} f_e(a)$$

where $\phi = \text{prec}(e)$ is a **precondition** that has to be true for the event/action to be possible.

Introduction

Transition systems

Planning with SAT

Action as formulae

Plans in PL

Parallel plans

Example

Symbolic Methods

State-space search

Choice between actions/events

A formula that expresses the **choice** between actions/events e_1, \dots, e_n is

$$\mathcal{R}_1(A, A') = \bigvee_{i=1}^n F(e_i).$$

We will later instantiate A and A' with different sets of propositional variables.

Introduction

Transition systems

Planning with SAT

Action as formulae

Plans in PL

Parallel plans

Example

Symbolic Methods

State-space search

Existence of plans of length t

Atomic propositions

Define $A^i = \{a^i | a \in A\}$ for all $i \in \{0, \dots, t\}$.
 a^i expresses the value of $a \in A$ at time i .

Plans of length t in the propositional logic

Plans of length t correspond to satisfying valuations of

$$\Phi_t = \iota^0 \wedge \mathcal{R}_1(A^0, A^1) \wedge \mathcal{R}_1(A^1, A^2) \wedge \dots \wedge \mathcal{R}_1(A^{t-1}, A^t) \wedge G^t$$

where $\iota^0 = \bigwedge \{a^0 | a \in A, I(a) = 1\} \cup \{\neg a^0 | a \in A, I(a) = 0\}$
and G^t is G with propositional variables a replaced by a^t .

Introduction

Transition
systems

Planning with
SAT

Action as formulae

Plans in PL

Parallel plans

Example

Symbolic
Methods

State-space
search

Planning as satisfiability

Example

Example

Consider

$$I \models a \wedge b$$

$$G = \neg a \wedge b$$

$$o_1 = \langle a, \{\neg a, b\} \rangle$$

$$o_2 = \langle b, \{a, \neg b\} \rangle.$$

Formula for plans of length 3 is

$$\begin{aligned} & (a^0 \wedge b^0) \wedge \\ & ((a^0 \wedge \neg a^1 \wedge b^1) \vee (b^0 \wedge a^1 \wedge \neg b^1)) \wedge \\ & ((a^1 \wedge \neg a^2 \wedge b^2) \vee (b^1 \wedge a^2 \wedge \neg b^2)) \wedge \\ & ((a^2 \wedge \neg a^3 \wedge b^3) \vee (b^2 \wedge a^3 \wedge \neg b^3)) \wedge \\ & (\neg a^3 \wedge b^3). \end{aligned}$$

This formula is satisfiable because the valuation v such that $v \models a^0 \wedge b^0 \wedge \neg a^1 \wedge b^1 \wedge a^2 \wedge \neg b^2 \wedge \neg a^3 \wedge b^3$ satisfies it.

Introduction

Transition systems

Planning with SAT

Action as formulae

Plans in PL

Parallel plans

Example

Symbolic Methods

State-space search

Parallel plans

- **Efficiency** of satisfiability testing is strongly **dependent on the horizon length** because known algorithms have **worst-case exponential runtime** in the formula size, and formula size is linearly proportional to horizon length.
- Formula sizes can be reduced by allowing **several events/actions in parallel**.
- On many problems this leads to big speed-ups.

Introduction

Transition systems

Planning with SAT

Action as formulae

Plans in PL

Parallel plans

Example

Symbolic Methods

State-space search

Interpretation of parallelism

Example

$\langle a, \{\neg b\} \rangle$ and $\langle b, \{\neg a\} \rangle$ have non-contradictory effects and preconditions.

However, neither action sequence

① $\langle b, \{\neg a\} \rangle, \langle a, \{\neg b\} \rangle$ nor

② $\langle a, \{\neg b\} \rangle, \langle b, \{\neg a\} \rangle$

is executable.

Standard interpretation of parallelism

Actions are **executable in every order**.

Introduction

Transition systems

Planning with SAT

Action as formulae

Plans in PL

Parallel plans

Example

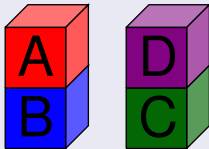
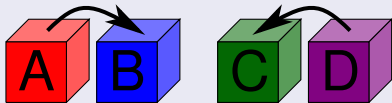
Symbolic Methods

State-space search

Interference

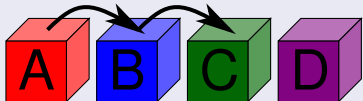
Example

Actions do not interfere



Actions can be taken simultaneously.

Actions interfere



If A is moved first, B won't be clear and cannot be moved.

Introduction

Transition
systems

Planning with
SAT

Action as formulae

Plans in PL

Parallel plans

Example

Symbolic
Methods

State-space
search

Interference

Interference

o and o' **interfere** if

- 1 o may make the precondition of o' false, or
- 2 o may change the actual effects of o' ,

or the other way round.

Example

$\langle c, \{d\} \rangle$ and $\langle \neg d, \{f\} \rangle$ interfere.

$\langle c, \{d\} \rangle$ and $\langle d, \{f\} \rangle$ do not interfere.

Important property of interference

Any set of **non-interfering** actions that are simultaneously applicable in a state s can be executed in **any order**, leading to the same state in all cases.

Introduction

Transition systems

Planning with SAT

Action as formulae

Plans in PL

Parallel plans

Example

Symbolic Methods

State-space search

Parallel actions

Representation in the propositional logic

Formulas $EPC_e^+(a)$ and $EPC_e^-(a)$ indicate when e makes a *true* and *false*, respectively:

$e(a)$	$EPC_e^+(a)$	$EPC_e^-(a)$
-	\perp	\perp
$a := 0$	\perp	\top
$a := 1$	\top	\perp
<i>IF</i> ϕ <i>THEN</i> $a := 1$	ϕ	\perp
<i>IF</i> ϕ <i>THEN</i> $a := 0$	\perp	ϕ
<i>IF</i> ϕ_1 <i>THEN</i> $a := 1$; <i>IF</i> ϕ_0 <i>THEN</i> $a := 0$	ϕ_1	ϕ_0

Our earlier definition for effects can be now rephrased as

$$f_e(a) = EPC_e^+(a) \vee (a \wedge \neg EPC_e^-(a)) \leftrightarrow a'.$$

We define $EPC^+(a)$ and $EPC^-(a)$ as $EPC^+(a)$ and

Introduction

Transition
systems

Planning with
SAT

Action as formulae

Plans in PL

Parallel plans

Example

Symbolic
Methods

State-space
search

Parallel actions

Representation in the propositional logic

Let $\mathcal{R}_2(A, A', O)$ be the conjunction of

$$\begin{aligned} & (o \rightarrow \text{prec}(o)) \wedge \\ & \bigwedge_{a \in A} (o \wedge EPC_o^+(a) \rightarrow a') \wedge \\ & \bigwedge_{a \in A} (o \wedge EPC_o^-(a) \rightarrow \neg a') \end{aligned}$$

for all $o \in O$ with effect e and the **explanatory frame axioms**

$$(a \wedge \neg a') \rightarrow ((o_1 \wedge EPC_{o_1}^-(a)) \vee \dots \vee (o_n \wedge EPC_{o_n}^-(a)))$$

$$(\neg a \wedge a') \rightarrow ((o_1 \wedge EPC_{o_1}^+(a)) \vee \dots \vee (o_n \wedge EPC_{o_n}^+(a)))$$

for all $a \in A$ where $O = \{o_1, \dots, o_n\}$, and

$$\bigwedge_{o_1, o_2 \in O} \text{interfere} \quad \neg(o_1 \wedge o_2).$$

Introduction

Transition systems

Planning with SAT

Action as formulae

Plans in PL

Parallel plans

Example

Symbolic Methods

State-space search

Plans with parallel actions

Parallel plans of length n in the propositional logic

Parallel plans of length n correspond to satisfying valuations of

$$I^0 \wedge \mathcal{R}_2(A^0, A^1, O^0) \wedge \cdots \wedge \mathcal{R}_2(A^{n-1}, A^n, O^{n-1}) \wedge G^n$$

where $I^0 = \bigwedge \{a^0 \mid a \in A, I(a) = 1\} \cup \{\neg a^0 \mid a \in A, I(a) = 0\}$
and G^n is G with propositional variables a replaced by a^n .

Introduction

Transition
systems

Planning with
SAT

Action as formulae

Plans in PL

Parallel plans

Example

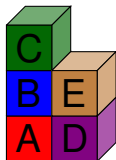
Symbolic
Methods

State-space
search

Planning as satisfiability

Example

initial state



goal state



Problem solved almost without search:

- Formulas for lengths 1 to 4 shown unsatisfiable without any search.
- Formula for plan length 5 is satisfiable: 3 nodes in the search tree.
- Plans have 5 to 7 actions, optimal plan has 5.

Introduction

Transition systems

Planning with SAT

Action as formulae

Plans in PL

Parallel plans

Example

Symbolic Methods

State-space search

Planning as satisfiability

Example

	0	1	2	3	4	5
clear(a)	F	F				
clear(b)	F		F			
clear(c)	T	T		FF		
clear(d)	F	T	T	F	F	F
clear(e)	T	T	F	F	F	F
on(a,b)	F	F	F	T		
on(a,c)	F	F	F	F	F	F
on(a,d)	F	F	F	F	F	F
on(a,e)	F	F	F	F	F	F
on(b,a)	T	T		FF		
on(b,c)	F	F		T	T	
on(b,d)	F	F	F	F	F	F
on(b,e)	F	F	F	F	F	F
on(c,a)	F	F	F	F	F	F
on(c,b)	T		FFF			
on(c,d)	F	F	F	T	T	T
on(c,e)	F	F	F	F	F	F
on(d,a)	F	F	F	F	F	F
on(d,b)	F	F	F	F	F	F
on(d,c)	F	F	F	F	F	F
on(d,e)	F	F	T	T	T	T
on(e,a)	F	F	F	F	F	F
on(e,b)	F	F	F	F	F	F
on(e,c)	F	F	F	F	F	F
on(e,d)	T	F	F	F	F	F
ontable(a)	T	T	T	F		
ontable(b)	F	F		FF		
ontable(c)	F		FFF			
ontable(d)	T	T	F	F	F	F
ontable(e)	F	T	T	T	T	T

1 State variable values inferred from **initial values** and **goals**.

2 Branch: $\neg\text{clear}(b)$ ¹.

3 Branch: $\text{clear}(a)$ ³.

4 Plan found:

	0	1	2	3	4
fromtable(a,b)	F	F	F	F	T
fromtable(b,c)	F	F	F	T	F
fromtable(c,d)	F	F	T	F	F
fromtable(d,e)	F	T	F	F	F
totable(b,a)	F	F	T	F	F
totable(c,b)	F	T	F	F	F
totable(e,d)	T	F	F	F	F

Introduction

Transition systems

Planning with SAT

Action as formulae

Plans in PL

Parallel plans

Example

Symbolic Methods

State-space search

Planning as satisfiability

Example

	0	1	2	3	4	5
clear(a)	F	F				
clear(b)	F		F			
clear(c)	T	T	F	F		
clear(d)	F	T	T	F	F	F
clear(e)	T	T	F	F	F	F
on(a,b)	F	F	F	T		
on(a,c)	F	F	F	F	F	F
on(a,d)	F	F	F	F	F	F
on(a,e)	F	F	F	F	F	F
on(b,a)	T	T	F	F		
on(b,c)	F	F	T			
on(b,d)	F	F	F	F	F	F
on(b,e)	F	F	F	F	F	F
on(c,a)	F	F	F	F	F	F
on(c,b)	T		F	F	F	
on(c,d)	F	F	F	T	T	T
on(c,e)	F	F	F	F	F	F
on(d,a)	F	F	F	F	F	F
on(d,b)	F	F	F	F	F	F
on(d,c)	F	F	F	F	F	F
on(d,e)	F	F	T	T	T	T
on(e,a)	F	F	F	F	F	F
on(e,b)	F	F	F	F	F	F
on(e,c)	F	F	F	F	F	F
on(e,d)	T	F	F	F	F	F
ontable(a)	T	T	T	F		
ontable(b)	F	F		F	F	
ontable(c)	F		F	F	F	
ontable(d)	T	T	F	F	F	F
ontable(e)	F	T	T	T	T	T

1 State variable values inferred from **initial values** and **goals**.

2 Branch: \neg **clear(b)**¹.

3 Branch: **clear(a)**³.

4 Plan found:

	0	1	2	3	4
fromtable(a,b)	F	F	F	F	T
fromtable(b,c)	F	F	F	T	F
fromtable(c,d)	F	F	T	F	F
fromtable(d,e)	F	T	F	F	F
totable(b,a)	F	F	T	F	F
totable(c,b)	F	T	F	F	F
totable(e,d)	T	F	F	F	F

Introduction

Transition systems

Planning with SAT

Action as formulae

Plans in PL

Parallel plans

Example

Symbolic Methods

State-space search

Planning as satisfiability

Example

	0	1	2	3	4	5
clear(a)	F	F				
clear(b)	F		F			
clear(c)	T	T		F	F	
clear(d)	F	T	T	F	F	F
clear(e)	T	T	F	F	F	F
on(a,b)	F	F	F		T	
on(a,c)	F	F	F	F	F	F
on(a,d)	F	F	F	F	F	F
on(a,e)	F	F	F	F	F	F
on(b,a)	T	T		F	F	
on(b,c)	F	F		T	T	
on(b,d)	F	F	F	F	F	F
on(b,e)	F	F	F	F	F	F
on(c,a)	F	F	F	F	F	F
on(c,b)	T		F	F	F	
on(c,d)	F	F	F	T	T	T
on(c,e)	F	F	F	F	F	F
on(d,a)	F	F	F	F	F	F
on(d,b)	F	F	F	F	F	F
on(d,c)	F	F	F	F	F	F
on(d,e)	F	F	T	T	T	T
on(e,a)	F	F	F	F	F	F
on(e,b)	F	F	F	F	F	F
on(e,c)	F	F	F	F	F	F
on(e,d)	T	F	F	F	F	F
ontable(a)	T	T	T		F	
ontable(b)	F	F		F	F	
ontable(c)	F		F	F	F	
ontable(d)	T	T	F	F	F	F
ontable(e)	F	T	T	T	T	T

1 State variable values inferred from **initial values** and **goals**.

2 Branch: \neg **clear(b)**¹.

3 Branch: **clear(a)**³.

4 Plan found:

	0	1	2	3	4
fromtable(a,b)	F	F	F	F	T
fromtable(b,c)	F	F	F	T	F
fromtable(c,d)	F	F	T	F	F
fromtable(d,e)	F	T	F	F	F
totable(b,a)	F	F	T	F	F
totable(c,b)	F	T	F	F	F
totable(e,d)	T	F	F	F	F

Introduction

Transition systems

Planning with SAT

Action as formulae

Plans in PL

Parallel plans

Example

Symbolic Methods

State-space search

Planning as satisfiability

Example

	0	1	2	3	4	5
clear(a)	F	F				
clear(b)	F		F			
clear(c)	T	T				
clear(d)	F	T	T			
clear(e)	T	T				
on(a,b)	F	F	F	T		
on(a,c)	F	F	F	F	F	F
on(a,d)	F	F	F	F	F	F
on(a,e)	F	F	F	F	F	F
on(b,a)	T	T				
on(b,c)	F	F	T			
on(b,d)	F	F	F	F	F	F
on(b,e)	F	F	F	F	F	F
on(c,a)	F	F	F	F	F	F
on(c,b)	T					
on(c,d)	F	F	F	T	T	
on(c,e)	F	F	F	F	F	F
on(d,a)	F	F	F	F	F	F
on(d,b)	F	F	F	F	F	F
on(d,c)	F	F	F	F	F	F
on(d,e)	F	F	T	T	T	
on(e,a)	F	F	F	F	F	F
on(e,b)	F	F	F	F	F	F
on(e,c)	F	F	F	F	F	F
on(e,d)	T	F	F	F	F	F
ontable(a)	T	T	T	F		
ontable(b)	F	F				
ontable(c)	F					
ontable(d)	T	T	F	F	F	F
ontable(e)	F	T	T	T	T	T

① State variable values inferred from **initial values** and **goals**.

② Branch: \neg **clear(b)**¹.

③ Branch: **clear(a)**³.

④ Plan found:

	0	1	2	3	4
fromtable(a,b)	F	F	F	F	T
fromtable(b,c)	F	F	F	T	F
fromtable(c,d)	F	F	T	F	F
fromtable(d,e)	F	T	F	F	F
totable(b,a)	F	F	T	F	F
totable(c,b)	F	T	F	F	F
totable(e,d)	T	F	F	F	F

Introduction

Transition systems

Planning with SAT

Action as formulae

Plans in PL

Parallel plans

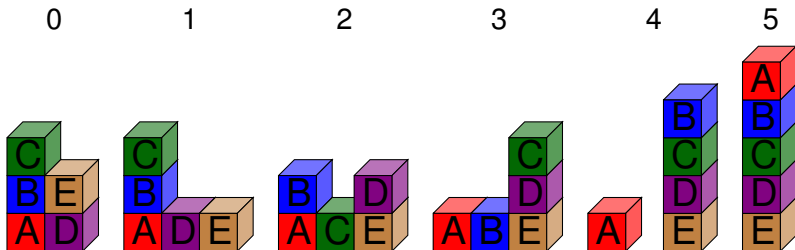
Example

Symbolic Methods

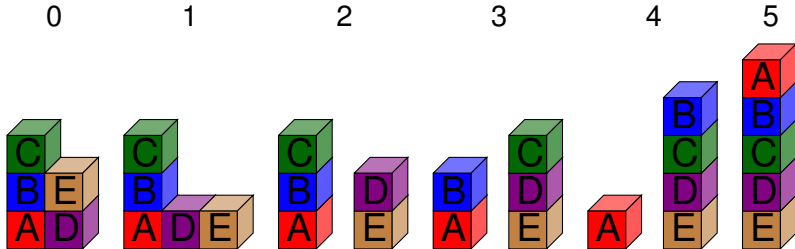
State-space search

Planning as satisfiability

The plan extracted from the satisfying valuation



Plan with the smallest number of actions:



Introduction

Transition systems

Planning with SAT

Action as formulae

Plans in PL

Parallel plans

Example

Symbolic Methods

State-space search

Sets of states as formulas

Formulas over A represent sets of states

A formula ϕ over A can be viewed as representing *all states (valuations of A) that satisfy ϕ* .

Example

$a \vee b$ over $A = \{a, b, c\}$ represents the **set**
 $\{ \overset{a}{0} \overset{b}{1} \overset{c}{0}, 011, 100, 101, 110, 111 \}$.

Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

Sets, Relations

Operations

Reachability

State-space
search

Relations as formulas

Formulas over $A \cup A'$ represent binary relations

$a \wedge a' \wedge (b \leftrightarrow b')$ over $A \cup A'$ where $A = \{a, b\}$, $A' = \{a', b'\}$ represents the **binary relation** $\{(10, 10), (11, 11)\}$.

Valuations $\overset{ab a'b'}{1010}$ and 1111 of $A \cup A'$ can be viewed respectively as **pairs of valuations** $\overset{ab a'b'}{(10, 10)}$ and $(11, 11)$ of A .

Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

Sets, Relations

Operations

Reachability

State-space
search

Actions as relations

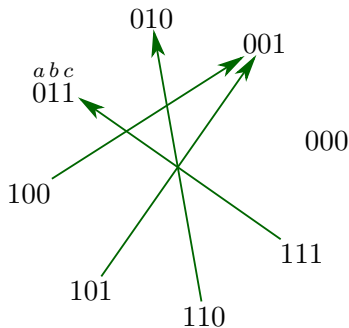
Example

State variables are $A = \{a, b, c\}$.

The formula

$$a \wedge \neg a' \wedge (b \leftrightarrow b') \wedge ((\neg b \vee c) \leftrightarrow c')$$

corresponds to the binary relation on the right.



Introduction

Transition systems

Planning with SAT

Symbolic Methods

Sets, Relations

Operations

Reachability

State-space search

Relation operations

Join

Join corresponds to Conjunction

Let ϕ_1 and ϕ_2 represent two relations.

Then $\phi_1 \wedge \phi_2$ represents their **join**.

Example

$$b^0 \wedge a^1 \wedge b^1$$

01	11
11	11

×

$$a^1 \wedge (a^2 \vee b^2)$$

10	10
10	01
10	11
11	10
11	01
11	11

=

01	11	10
01	11	01
01	11	11
11	11	10
11	11	01
11	11	11

which is $(b^0 \wedge a^1 \wedge b^1) \wedge (a^1 \wedge (a^2 \vee b^2))$.

Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

Sets, Relations

Operations

Reachability

State-space
search

Relation operations

Union

Union corresponds to Disjunction

Let ϕ_1 and ϕ_2 represent two relations.

Then $\phi_1 \vee \phi_2$ represents their **union**.

Example

Union of the two relations represented by the formulas

$$a^0 \wedge b^0 \wedge (a^1 \vee b^1) \quad (a^0 \vee \neg b^0) \wedge \neg a^1 \wedge \neg b^1$$

11	01
11	10
11	11

\cup

01	00
10	00
11	00

=

01	00
10	00
11	00
11	10
11	01
11	11

corresponds to the formula

$$(a^0 \wedge b^0) \vee ((a^0 \vee b^0) \wedge (\neg a^1 \wedge \neg b^1)).$$

Introduction

Transition systems

Planning with SAT

Symbolic Methods

Sets, Relations

Operations

Reachability

State-space search

Relation operations

Projection

Relational projection corresponds to the *Abstraction* operation

Let ϕ , on variables A , represent a relation. Let $A' \subseteq A$ represent some columns in the relation.

The **projection** of the relation to A' is represented by

$$\exists R.\phi$$

where $R = A \setminus A'$. Here $\exists R$ is the **existential abstraction** operation which will be defined on the next slides.

Introduction

Transition systems

Planning with SAT

Symbolic Methods

Sets, Relations

Operations

Reachability

State-space search

Existential and universal abstraction

Definition

Existential abstraction of a formula ϕ with respect to $a \in A$:

$$\exists a. \phi = \phi[\top/a] \vee \phi[\perp/a].$$

Universal abstraction is defined analogously by using conjunction instead of disjunction.

Definition

Universal abstraction of a formula ϕ with respect to $a \in A$:

$$\forall a. \phi = \phi[\top/a] \wedge \phi[\perp/a].$$

Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

Sets, Relations

Operations

Reachability

State-space
search

\exists -abstraction

Examples

Example

$$\begin{aligned} & \exists b.((a \rightarrow b) \wedge (b \rightarrow c)) \\ &= ((a \rightarrow \top) \wedge (\top \rightarrow c)) \vee ((a \rightarrow \perp) \wedge (\perp \rightarrow c)) \\ &\equiv c \vee \neg a \\ &\equiv a \rightarrow c \end{aligned}$$

$$\begin{aligned} \exists ab.(a \vee b) &= \exists b.(\top \vee b) \vee (\perp \vee b) \\ &= ((\top \vee \top) \vee (\perp \vee \top)) \vee ((\top \vee \perp) \vee (\perp \vee \perp)) \\ &\equiv (\top \vee \top) \vee (\top \vee \perp) \equiv \top \end{aligned}$$

Example

\exists -abstraction is also known as **forgetting**:

$$\begin{aligned} & \exists \text{mon} \exists \text{tue}((\text{mon} \vee \text{tue}) \wedge (\text{mon} \rightarrow \text{work}) \wedge (\text{tue} \rightarrow \text{work})) \\ &\equiv \exists \text{tue}((\text{work} \wedge (\text{tue} \rightarrow \text{work})) \vee (\text{tue} \wedge (\text{tue} \rightarrow \text{work}))) \equiv \text{work} \end{aligned}$$

Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

Sets, Relations

Operations

Reachability

State-space
search

\forall and \exists -abstraction in terms of truth-tables

Example

$\forall c$ and $\exists c$ correspond to **combining pairs of lines** with the same valuation for variables other than c .

Example

$$\exists c.(a \vee (b \wedge c)) \equiv a \vee b$$

$$\forall c.(a \vee (b \wedge c)) \equiv a$$

a	b	c	$a \vee (b \wedge c)$	a	b	$\exists c.(a \vee (b \wedge c))$	a	b	$\forall c.(a \vee (b \wedge c))$
0	0	0	0	0	0	0	0	0	0
0	0	1	0	0	0	0	0	0	0
0	1	0	0	0	1	1	0	1	0
0	1	1	1	0	1	1	0	1	0
1	0	0	1	1	0	1	1	0	1
1	0	1	1	1	0	1	1	0	1
1	1	0	1	1	1	1	1	1	1
1	1	1	1	1	1	1	1	1	1

Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

Sets, Relations

Operations

Reachability

State-space
search

Applications of \exists

The relational operations are important for

- computing immediate **predecessors** of a set of states,
- computing immediate **successors** of a set of states,
- all states that are **reachable** from a set of states.

Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

Sets, Relations

Operations

Reachability

State-space
search

Symbolic reachability computation

$$\iota^0 \wedge \mathcal{R}_1(A^0, A^1) \wedge \cdots \wedge \mathcal{R}_1(A^{t-1}, A^t) \wedge G^t$$

Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

Sets, Relations

Operations

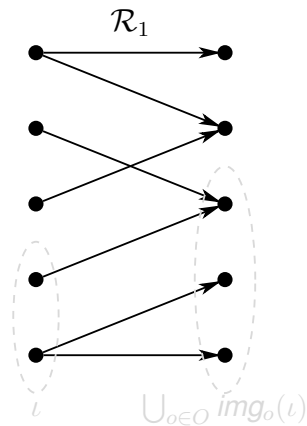
Reachability

State-space
search

Symbolic reachability computation

$$\mathcal{R}_1(A^0, A^1)$$

binary relation \mathcal{R}_1



Introduction

Transition systems

Planning with SAT

Symbolic Methods

Sets, Relations

Operations

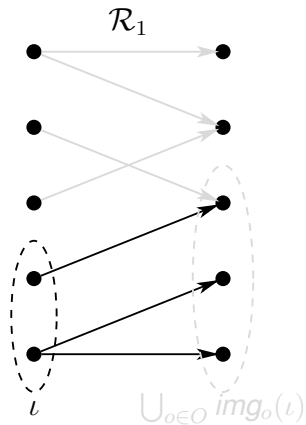
Reachability

State-space search

Symbolic reachability computation

$$\iota^0 \wedge \mathcal{R}_1(A^0, A^1)$$

relational join of ι and \mathcal{R}_1



Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

Sets, Relations

Operations

Reachability

State-space
search

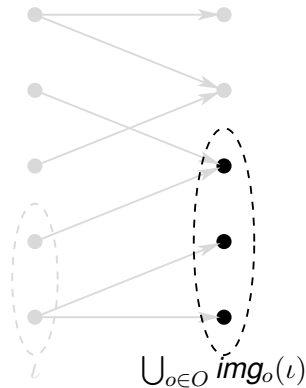
Symbolic reachability computation

$$\exists A^0. (\iota^0 \wedge \mathcal{R}_1(A^0, A^1))$$

projection to time 1: $\bigcup_{o \in O} \text{img}_o(\iota)$

This is the set of states that are reachable from ι by one step with o .

$$\text{img}_o(\iota) = \{s' \mid \text{so}s', \iota \models s\}$$



Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

Sets, Relations

Operations

Reachability

State-space
search

Symbolic reachability computation

$$\exists A^1. (\exists A^0. (\iota^0 \wedge \mathcal{R}_1(A^0, A^1)) \wedge \mathcal{R}_1(A^1, A^2))$$

States that are reachable by two steps:

$$\bigcup_{o \in O} \text{img}_o(\bigcup_{o \in O} \text{img}_o(\iota))$$

Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

Sets, Relations

Operations

Reachability

State-space
search

Symbolic reachability computation

$$\exists A^1. (\exists A^0. (\iota^0 \wedge \mathcal{R}_1(A^0, A^1)) \wedge \mathcal{R}_1(A^1, A^2)) \wedge G^2$$

Goal states that are reachable by two steps:

$$(\bigcup_{o \in O} \text{img}_o(\bigcup_{o \in O} \text{img}_o(\iota))) \cap G$$

Formula for plans of length 2:

$$\iota^0 \wedge \mathcal{R}_1(A^0, A^1) \wedge \mathcal{R}_1(A^1, A^2) \wedge G^2$$

Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

Sets, Relations

Operations

Reachability

State-space
search

Images by \exists -abstraction

Let

- $A = \{a_1, \dots, a_n\}$,
- $A' = \{a'_1, \dots, a'_n\}$,
- ϕ be a formula over A that represents a set T of states, and
- $\tau_A(o)$ the formula over $A \cup A'$ that represents the action o (a binary relation on states).

The **image** $\{s' \in S \mid s \in T, sos'\}$ of T with respect to o is represented by

$$\exists A.(\phi \wedge F(o))$$

which is a formula over A' .

To obtain a formula over A we rename the variables.

$$img_o(\phi) = (\exists A.(\phi \wedge F(o)))[A/A']$$

Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

Sets, Relations

Operations

Reachability

State-space
search

Images and preimages by formula manipulation

Definition

Let o be an action and ϕ a formula. Define

$$\begin{aligned} \text{img}_o(\phi) &= (\exists A. (\phi \wedge F(o)))[A/A'] \\ \text{preimg}_o(\phi) &= \exists A'. (F(o) \wedge \phi[A'/A]) \end{aligned}$$

Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

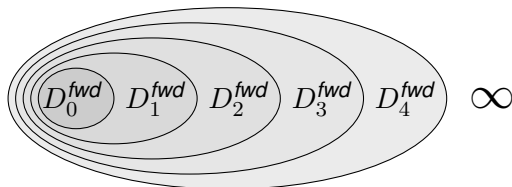
Sets, Relations

Operations

Reachability

State-space
search

Forward distances with formulae



Forward distances with formulae

$$D_0^{fwd} = I$$

$$D_i^{fwd} = D_{i-1}^{fwd} \vee \bigvee_{o \in O} \text{img}_o(D_{i-1}^{fwd}) \text{ for all } i \geq 1$$

Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

Sets, Relations

Operations

Reachability

State-space
search

Constructing a plan given the distances

Let n be the minimum number such that $D_n^{fwd} \wedge G$ is satisfiable. This means that the shortest plan has length n . An action sequence from an initial state to G can be extracted as follows (starting from its last action.)

- 1 Set $G := G \wedge D_n^{fwd}$.
- 2 Choose any action e such that $preimg_e(G) \wedge D_{n-1}^{fwd}$ is satisfiable.
- 3 Set $G := preimg_e(G) \wedge D_{n-1}^{fwd}$ and $n := n - 1$.
- 4 If $n > 0$ go to 2.

Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

Sets, Relations

Operations

Reachability

State-space
search

SAT vs. symbolic reachability

The formula in the SAT case is

$$\Phi_t = \iota^0 \wedge \mathcal{R}_1(A^0, A^1) \wedge \mathcal{R}_1(A^1, A^2) \wedge \cdots \wedge \mathcal{R}_1(A^{t-1}, A^t) \wedge G^t.$$

In the symbolic reachability computation, generate

$$\iota^0$$

$$\iota^0 \wedge \mathcal{R}_1(A^0, A^1)$$

$$\iota^0 \wedge \mathcal{R}_1(A^0, A^1) \wedge \mathcal{R}_1(A^1, A^2)$$

$$\vdots$$

$$\iota^0 \wedge \mathcal{R}_1(A^0, A^1) \wedge \mathcal{R}_1(A^1, A^2) \wedge \cdots \wedge \mathcal{R}_1(A^{t-1}, A^t)$$

and from each formula abstract away all but the last time point, and then intersect the resulting set with G to test if goals can be reached.

These are from the logical point of view exactly the same thing.

Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

Sets, Relations

Operations

Reachability

State-space
search

Planning by state-space search

There are many alternative ways of doing planning by state-space search.

- 1 different ways of expressing planning as a search problem:
 - 1 **search direction**: forward, backward
 - 2 **representation** of search space: states, sets of states
- 2 different **search algorithms**:
 - 1 depth-first, breadth-first, bidirectional, ...
 - 2 heuristic search (**systematic**: A*, IDA*, best first, ...; **local**: hill-climbing, simulated annealing, ...), ...
- 3 different ways of controlling search:
 - 1 **different heuristics** for heuristic search algorithms
 - 2 **pruning techniques**: invariants, symmetry elimination,...

Introduction

Transition systems

Planning with SAT

Symbolic Methods

State-space search

Progression

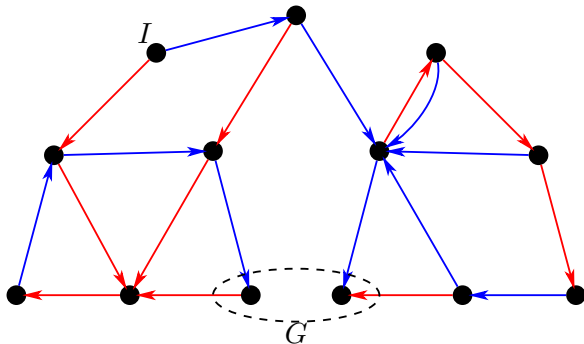
Regression

Search

Heuristics

Planning by forward search

with depth-first search



Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

**State-space
search**

Progression

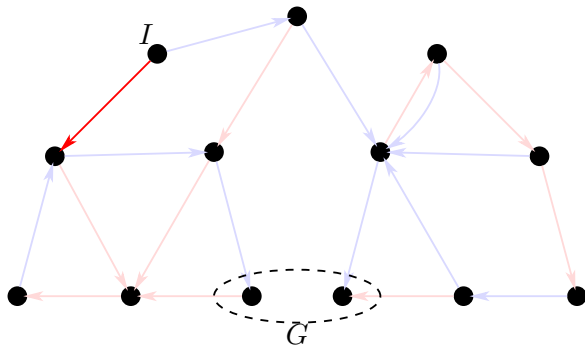
Regression

Search

Heuristics

Planning by forward search

with depth-first search



Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

**State-space
search**

Progression

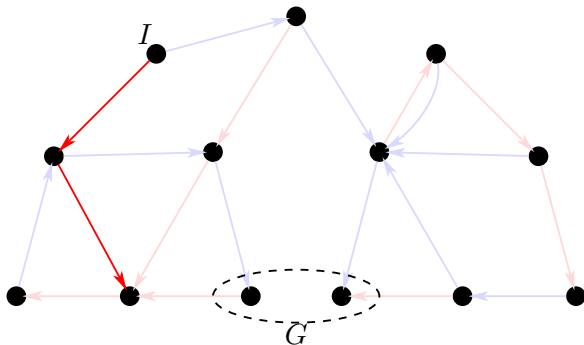
Regression

Search

Heuristics

Planning by forward search

with depth-first search



Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

**State-space
search**

Progression

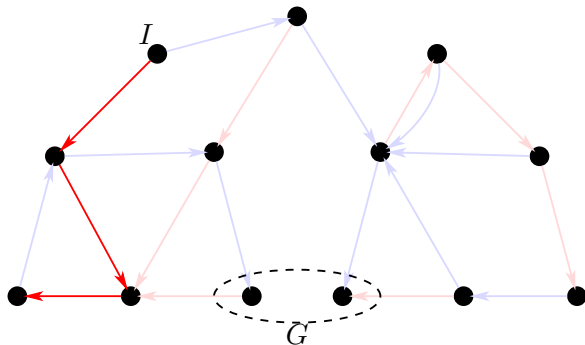
Regression

Search

Heuristics

Planning by forward search

with depth-first search



Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

**State-space
search**

Progression

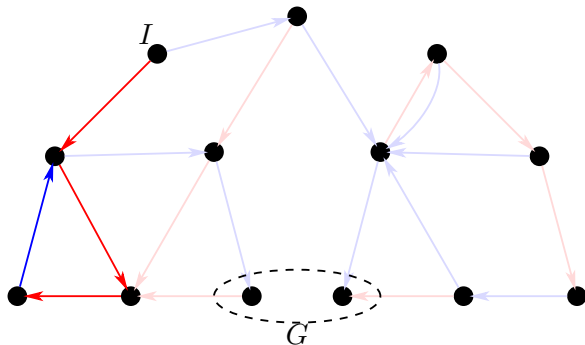
Regression

Search

Heuristics

Planning by forward search

with depth-first search



Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

**State-space
search**

Progression

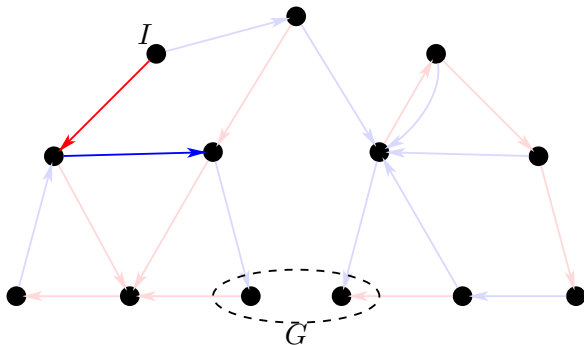
Regression

Search

Heuristics

Planning by forward search

with depth-first search



Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

**State-space
search**

Progression

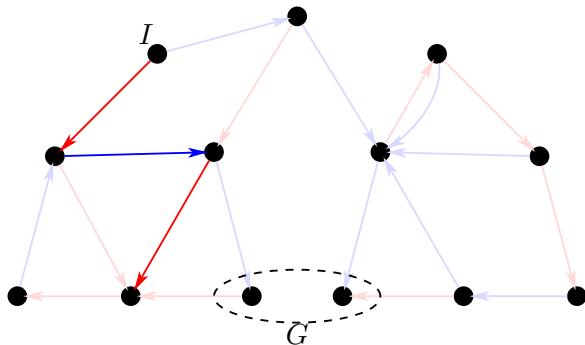
Regression

Search

Heuristics

Planning by forward search

with depth-first search



Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

**State-space
search**

Progression

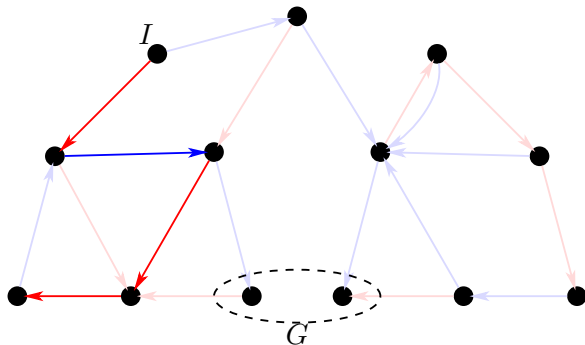
Regression

Search

Heuristics

Planning by forward search

with depth-first search



Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

**State-space
search**

Progression

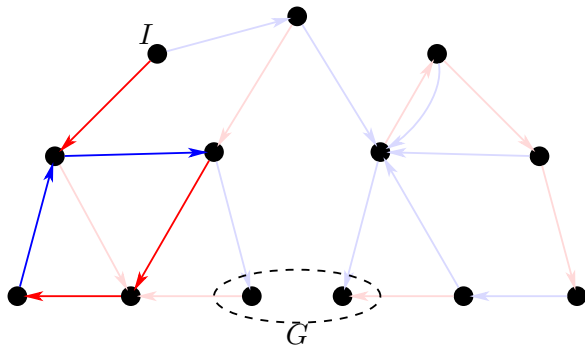
Regression

Search

Heuristics

Planning by forward search

with depth-first search



Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

**State-space
search**

Progression

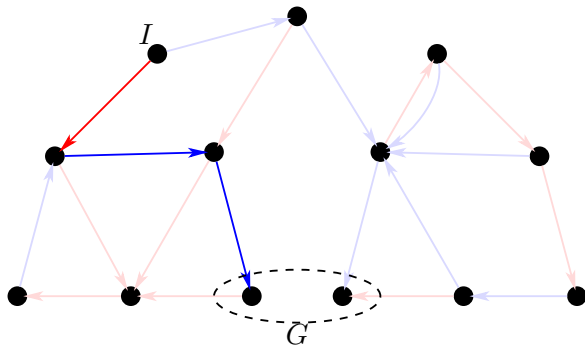
Regression

Search

Heuristics

Planning by forward search

with depth-first search



Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

**State-space
search**

Progression

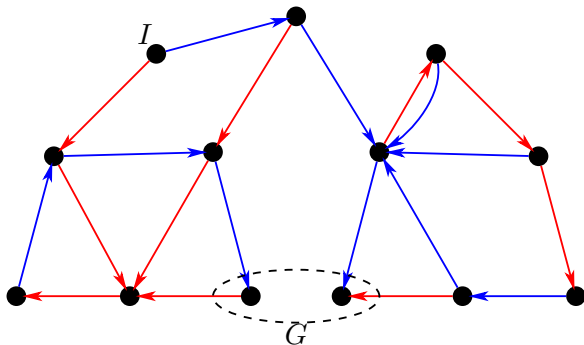
Regression

Search

Heuristics

Planning by backward search

with depth-first search, for state sets



Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

**State-space
search**

Progression

Regression

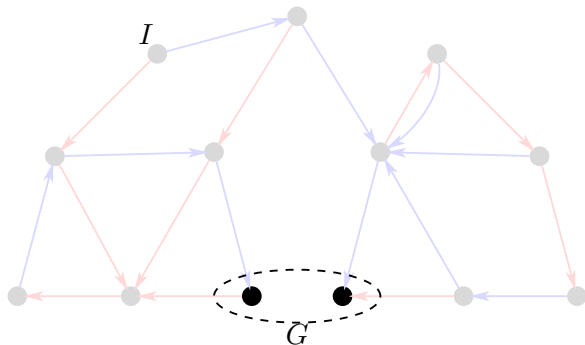
Search

Heuristics

Planning by backward search

with depth-first search, for state sets

G



Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

**State-space
search**

Progression

Regression

Search

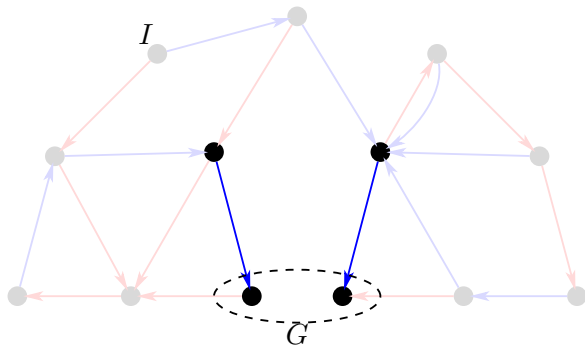
Heuristics

Planning by backward search

with depth-first search, for state sets

$$G_1 = \text{regr} \rightarrow (G)$$

$$G_1 \rightarrow G$$



Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

**State-space
search**

Progression

Regression

Search

Heuristics

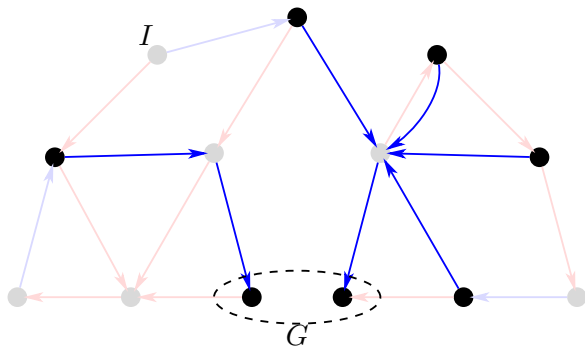
Planning by backward search

with depth-first search, for state sets

$$G_1 = \text{regr} \rightarrow (G)$$

$$G_2 = \text{regr} \rightarrow (G_1)$$

$$G_2 \rightarrow G_1 \rightarrow G$$



Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

**State-space
search**

Progression

Regression

Search

Heuristics

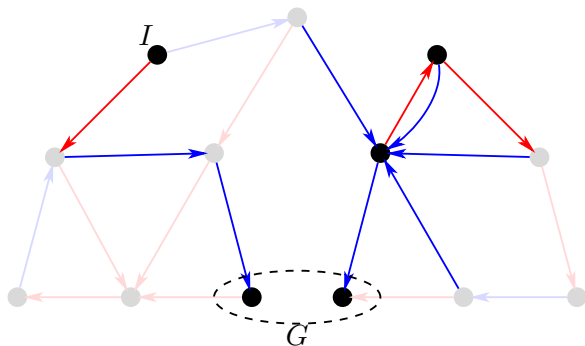
Planning by backward search

with depth-first search, for state sets

$$G_1 = \text{regr} \rightarrow (G) \quad G_3 \xrightarrow{\text{red}} G_2 \xrightarrow{\text{blue}} G_1 \xrightarrow{\text{blue}} G$$

$$G_2 = \text{regr} \rightarrow (G_1)$$

$$G_3 = \text{regr} \rightarrow (G_2), I \models G_3$$



Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

State-space
search

Progression

Regression

Search

Heuristics

Progression

- **Progression** = computation of successor state(s).
- Used in **forward search**: from the initial state toward the goal states.
- + Very easy and efficient to implement.
- Search with only one state at a time.

Progression

For a given state s and action o with effects e , the successor state $exec_o(s)$ is obtained by changing the literals in $[e]_s$ true in s .

Introduction

Transition systems

Planning with SAT

Symbolic Methods

State-space search

Progression

Regression

Search

Heuristics

Regression

- **Regression** = computation of predecessors of states
- + Advantage over progression: a formula represents a **set of states**.
- More difficult to implement efficiently.

Regression

- 1 Start from ϕ which is initially set to G .
- 2 Repeat the following.
 - 1 **First step**: Choose an action o .
 - 2 **Second step**: Form a new goal $\phi := \text{preimg}_o(\phi)$.

Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

State-space
search

Progression

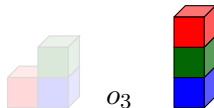
Regression

Search

Heuristics

Regression for STRIPS actions

Example



$$o_3 = \langle \{ \text{red on T, red clr, green clr} \}, \{ \neg \text{green clr, } \neg \text{red on T, red on green} \} \rangle$$

$$G = \{ \text{red on green, green on blue} \}$$

Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

State-space
search

Progression

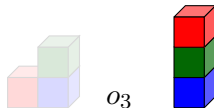
Regression

Search

Heuristics

Regression for STRIPS actions

Example



$$o_3 = \langle \{ \text{pink on T, pink clr, green clr} \}, \{ \neg \text{green clr, } \neg \text{pink on T, red on green} \} \rangle$$

$$G = \{ \text{red on green, green on blue} \}$$

Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

State-space
search

Progression

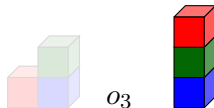
Regression

Search

Heuristics

Regression for STRIPS actions

Example



$$o_3 = \langle \{ \text{red on T, red clr, green clr} \}, \{ \neg \text{green clr, } \neg \text{red on T, red on green} \} \rangle$$

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Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

State-space
search

Progression

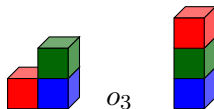
Regression

Search

Heuristics

Regression for STRIPS actions

Example



$$o_3 = \langle \{ \text{red on T, red clr, green clr} \}, \{ \neg \text{green clr, } \neg \text{red on T, red on green} \} \rangle$$

$$G = \{ \text{red on green, green on blue} \}$$

$$G_1 = \text{regr}_{o_3}^{\text{str}}(G) = \{ \text{green on blue, red on T, red clr, green clr} \}$$

Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

State-space
search

Progression

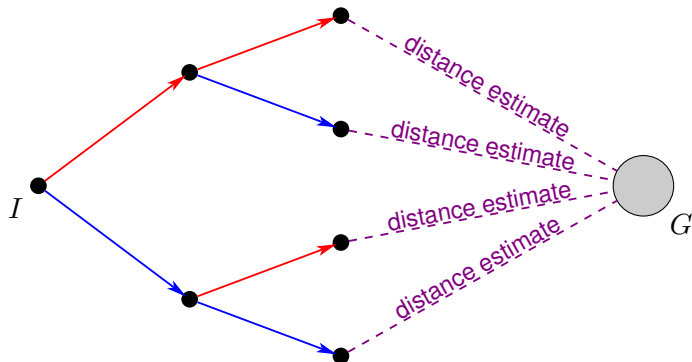
Regression

Search

Heuristics

Planning by heuristic search

Forward search



Introduction

Transition systems

Planning with SAT

Symbolic Methods

State-space search

Progression

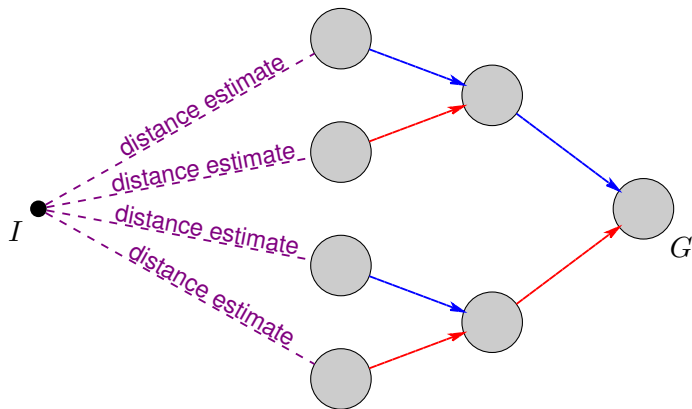
Regression

Search

Heuristics

Planning by heuristic search

Backward search



Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

State-space
search

Progression

Regression

Search

Heuristics

Search algorithms: A*

Example



Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

State-space
search

Progression

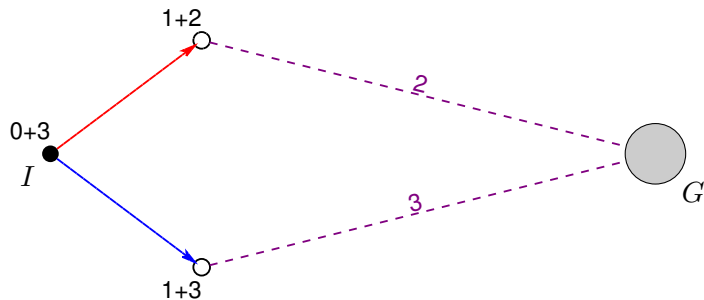
Regression

Search

Heuristics

Search algorithms: A*

Example



Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

State-space
search

Progression

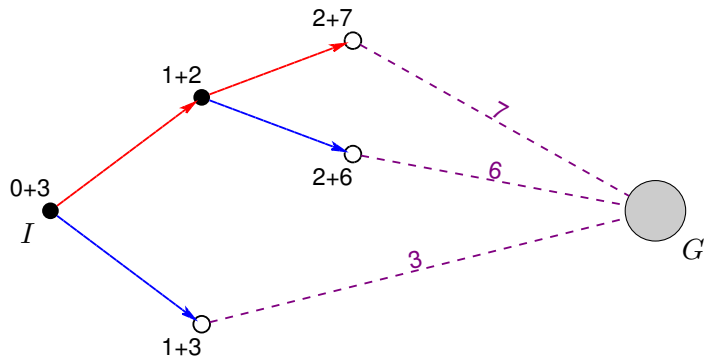
Regression

Search

Heuristics

Search algorithms: A*

Example



Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

State-space
search

Progression

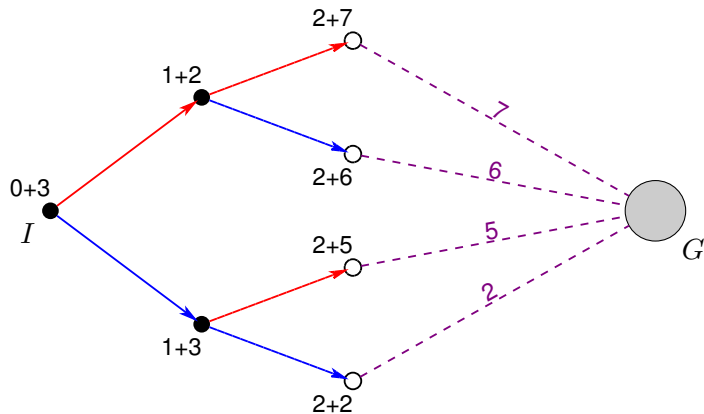
Regression

Search

Heuristics

Search algorithms: A*

Example



Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

State-space
search

Progression

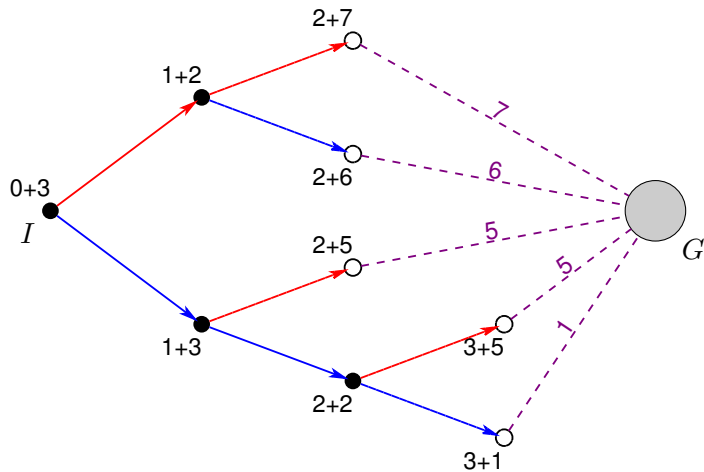
Regression

Search

Heuristics

Search algorithms: A*

Example



Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

State-space
search

Progression

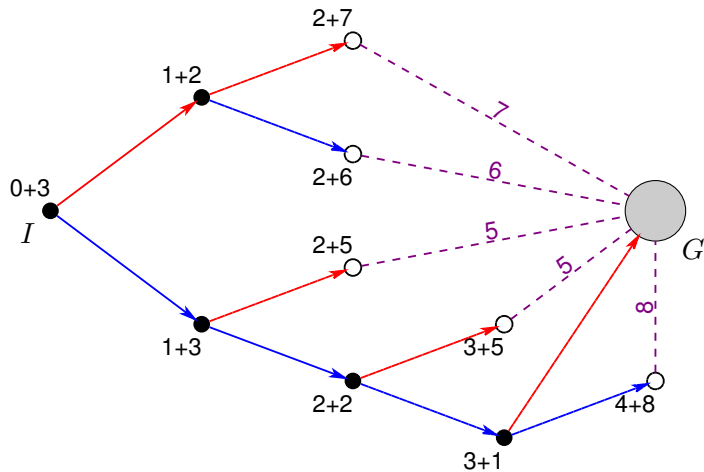
Regression

Search

Heuristics

Search algorithms: A^*

Example



Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

State-space
search

Progression

Regression

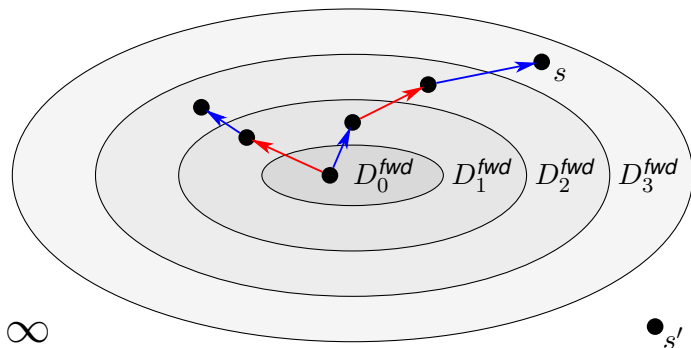
Search

Heuristics

Distances

Illustration

Forward distance of state s is 3 because $s \in D_3^{fwd} \setminus D_2^{fwd}$.



As $D_i^{fwd} = D_3^{fwd}$ for all $i > 3$, forward distance of state s' is ∞ .

Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

State-space
search

Progression

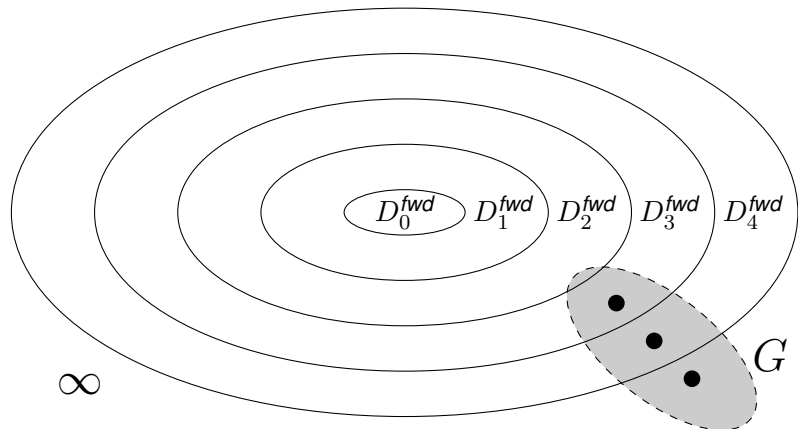
Regression

Search

Heuristics

Distances of formulas

$\delta_I^{fwd}(G) = 3$ since $s \models G$ for some $s \in D_3^{fwd}$ and for no $s \in D_2^{fwd}$.



Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

State-space
search

Progression

Regression

Search

Heuristics

Distance estimation

- Computation of exact distances is as hard as planning itself: only their approximations are useful as heuristics.
- We discuss a distance heuristic for controlling heuristic search algorithms like A^* , IDA^* .
- The distance estimates are a **lower bound** for forward distances: since they don't overestimate they are **admissible** as a heuristic.
- They can be used with A^* and IDA^* to find **optimal plans**.
- Basic insight: estimate distances one state variable at a time.

Introduction

Transition systems

Planning with SAT

Symbolic Methods

State-space search

Progression

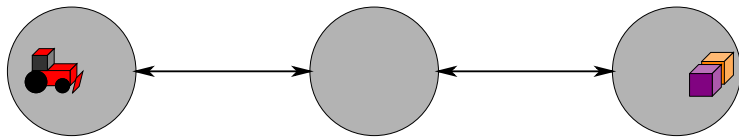
Regression

Search

Heuristics

Distance estimation

Tractor example



1 Tractor moves:

- from 1 to 2: $T_{12} = \langle T1, \{T2, \neg T1\} \rangle$
- from 2 to 1: $T_{21} = \langle T2, \{T1, \neg T2\} \rangle$
- from 2 to 3: $T_{23} = \langle T2, \{T3, \neg T2\} \rangle$
- from 3 to 2: $T_{32} = \langle T3, \{T2, \neg T3\} \rangle$

2 Tractor pushes A:

- from 2 to 1: $A_{21} = \langle T2 \wedge A2, \{T1, A1, \neg T2, \neg A2\} \rangle$
- from 3 to 2: $A_{32} = \langle T3 \wedge A3, \{T2, A2, \neg T3, \neg A3\} \rangle$

3 Tractor pushes B:

- from 2 to 1: $B_{21} = \langle T2 \wedge B2, \{T1, B1, \neg T2, \neg B2\} \rangle$
- from 3 to 2: $B_{32} = \langle T3 \wedge B3, \{T2, B2, \neg T3, \neg B3\} \rangle$

Introduction

Transition systems

Planning with SAT

Symbolic Methods

State-space search

Progression

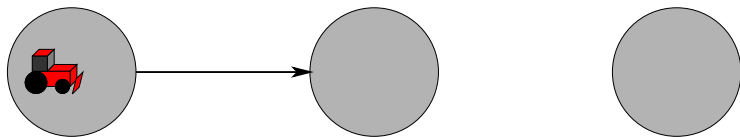
Regression

Search

Heuristics

Distance estimation

Tractor example



t	T1	T2	T3	A1	A2	A3	B1	B2	B3
0	T	F	F	F	F	T	F	F	T
1	TF	TF	F	F	F	T	F	F	T
2	TF	TF	TF	F	F	T	F	F	T
3	TF	TF	TF	F	TF	TF	F	TF	TF
4	TF	TF	TF	TF	TF	TF	TF	TF	TF

Execute $T_{12} = \langle T_1, \{T_2, -T_1\} \rangle$

Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

State-space
search

Progression

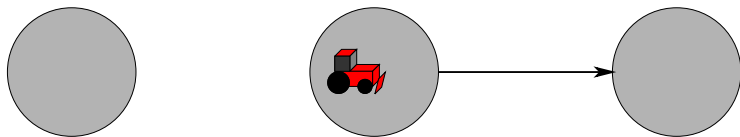
Regression

Search

Heuristics

Distance estimation

Tractor example



t	T1	T2	T3	A1	A2	A3	B1	B2	B3
0	T	F	F	F	F	T	F	F	T
1	TF	TF	F	F	F	T	F	F	T
2	TF	TF	TF	F	F	T	F	F	T
3	TF	TF	TF	F	TF	TF	F	TF	TF
4	TF	TF	TF	TF	TF	TF	TF	TF	TF

Execute $T23 = \langle T2, \{T3, \neg T2\} \rangle$

Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

State-space
search

Progression

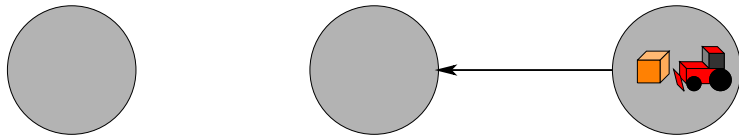
Regression

Search

Heuristics

Distance estimation

Tractor example



t	T1	T2	T3	A1	A2	A3	B1	B2	B3
0	T	F	F	F	F	T	F	F	T
1	TF	TF	F	F	F	T	F	F	T
2	TF	TF	TF	F	F	T	F	F	T
3	TF	TF	TF	F	TF	TF	F	TF	TF
4	TF	TF	TF	TF	TF	TF	TF	TF	TF

Execute $A32 = \langle T3 \wedge A3, \{T2, A2, \neg T3, \neg A3\} \rangle$

Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

State-space
search

Progression

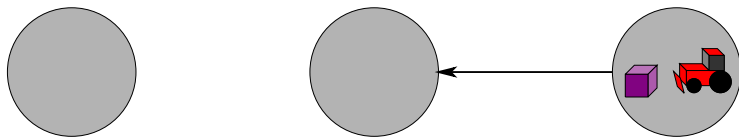
Regression

Search

Heuristics

Distance estimation

Tractor example



t	T1	T2	T3	A1	A2	A3	B1	B2	B3
0	T	F	F	F	F	T	F	F	T
1	TF	TF	F	F	F	T	F	F	T
2	TF	TF	TF	F	F	T	F	F	T
3	TF	TF	TF	F	TF	TF	F	TF	TF
4	TF	TF	TF	TF	TF	TF	TF	TF	TF

Execute $B32 = \langle T3 \wedge B3, \{T2, B2, \neg T3, \neg B3\} \rangle$

Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

State-space
search

Progression

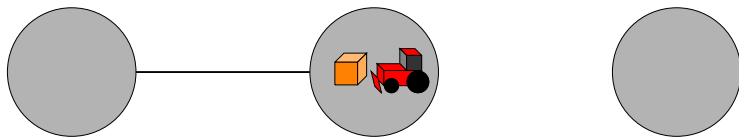
Regression

Search

Heuristics

Distance estimation

Tractor example



t	T1	T2	T3	A1	A2	A3	B1	B2	B3
0	T	F	F	F	F	T	F	F	T
1	TF	TF	F	F	F	T	F	F	T
2	TF	TF	TF	F	F	T	F	F	T
3	TF	TF	TF	F	TF	TF	F	TF	TF
4	TF	TF	TF	TF	TF	TF	TF	TF	TF

Execute $A21 = \langle T2 \wedge A2, \{T1, A1, \neg T2, \neg A2\} \rangle$

Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

State-space
search

Progression

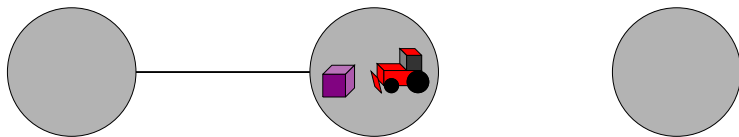
Regression

Search

Heuristics

Distance estimation

Tractor example



t	T1	T2	T3	A1	A2	A3	B1	B2	B3
0	T	F	F	F	F	T	F	F	T
1	TF	TF	F	F	F	T	F	F	T
2	TF	TF	TF	F	F	T	F	F	T
3	TF	TF	TF	F	TF	TF	F	TF	TF
4	TF	TF	TF	TF	TF	TF	TF	TF	TF

Execute $B21 = \langle T2 \wedge B2, \{T1, B1, \neg T2, \neg B2\} \rangle$

Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

State-space
search

Progression

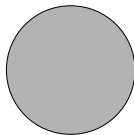
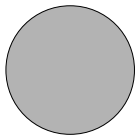
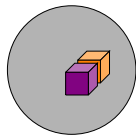
Regression

Search

Heuristics

Distance estimation

Tractor example



t	T1	T2	T3	A1	A2	A3	B1	B2	B3
0	T	F	F	F	F	T	F	F	T
1	TF	TF	F	F	F	T	F	F	T
2	TF	TF	TF	F	F	T	F	F	T
3	TF	TF	TF	F	TF	TF	F	TF	TF
4	TF	TF	TF	TF	TF	TF	TF	TF	TF

Distance of $A1, B1$ is 4.

Introduction

Transition
systems

Planning with
SAT

Symbolic
Methods

State-space
search

Progression

Regression

Search

Heuristics

Abstraction Heuristics

Key observation

Eliminating any state variable can only reduce the length of the shortest plan.

- Any abstraction, with some variables eliminated, yields a smaller state space.
- Distances in the **abstract state space** are **lower bounds** for the distances in the state space itself.

Introduction

Transition systems

Planning with SAT

Symbolic Methods

State-space search

Progression

Regression

Search

Heuristics

Abstraction Heuristics

The tractor example, abstracted to $\{A1, A2, A3, B1, B2, B3\}$ (eliminating the tractor) yields actions

1 Tractor moves:

- from 1 to 2: $T12 = \langle T, \{\} \rangle$
- from 2 to 1: $T21 = \langle T, \{\} \rangle$
- from 2 to 3: $T23 = \langle T, \{\} \rangle$
- from 3 to 2: $T32 = \langle T, \{\} \rangle$

2 Tractor pushes A:

- from 2 to 1: $A21 = \langle A2, \{A1, \neg A2\} \rangle$
- from 3 to 2: $A32 = \langle A3, \{A2, \neg A3\} \rangle$

3 Tractor pushes B:

- from 2 to 1: $B21 = \langle B2, \{B1, \neg B2\} \rangle$
- from 3 to 2: $B32 = \langle B3, \{B2, \neg B3\} \rangle$

The abstract state space has 9 states (as opposed to 27).
Reaching $A1, B1$ from the abstract initial state $A3, B3$ takes 4 abstract actions.

Introduction

Transition systems

Planning with SAT

Symbolic Methods

State-space search

Progression

Regression

Search

Heuristics

Abstraction Heuristics

Aggregation of several abstractions

In practice it is only possible to use abstractions that retain only **very few state variables**. These typically yield **very weak lower bounds**.

Useful strategy: **aggregate** several abstractions.

- 1 **Maximum** of lower bounds from different abstractions
- 2 **Sum** of lower bounds from different abstractions, **provided that** no action **gets counted twice**.
- 3 More sophisticated aggregation methods exist.

Central problem: Which abstractions to aggregate?

Introduction

Transition systems

Planning with SAT

Symbolic Methods

State-space search

Progression

Regression

Search

Heuristics