


# Incremental Training for Face Recognition

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# Talk - outline

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- **Problem statement**
- **Incremental training approach**
- **Application to Auto-Training for unknown persons**
- **Experiments / results**

# Problem statement

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- **Identifying persons is a crucial task in video analysis**
  - broadcast content/archive documentation
  - media monitoring, open source intelligence
  - processing of user generated content
- **Annotating training data is costly**
  - large databases cover internationally known celebrities etc.
  - for many applications less known people are of interest, for which it is not easy to find samples on the web
  - resources for collecting and annotating samples are limited, identification must work with few examples

# Problem statement

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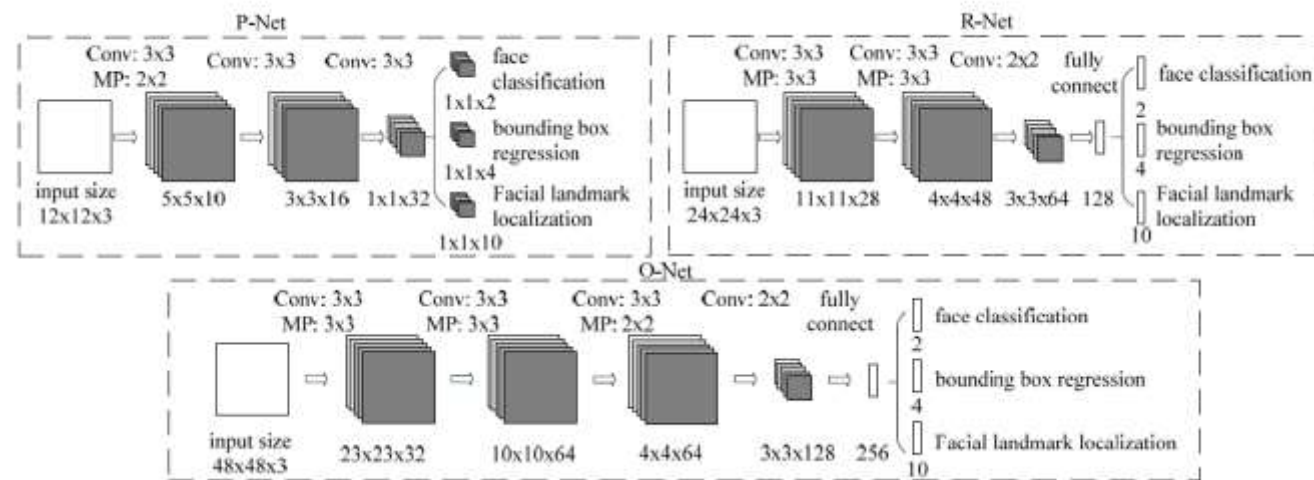
- **Persons of interest not known in advance**
  - add quickly new persons to be identified
  - add additional samples of a person not reliably detected in some cases
- **Analyse only once**
  - re-running (total) analysis is costly
  - it shall be possible to find people added later in already annotated content and link them to names

# Incremental training approach

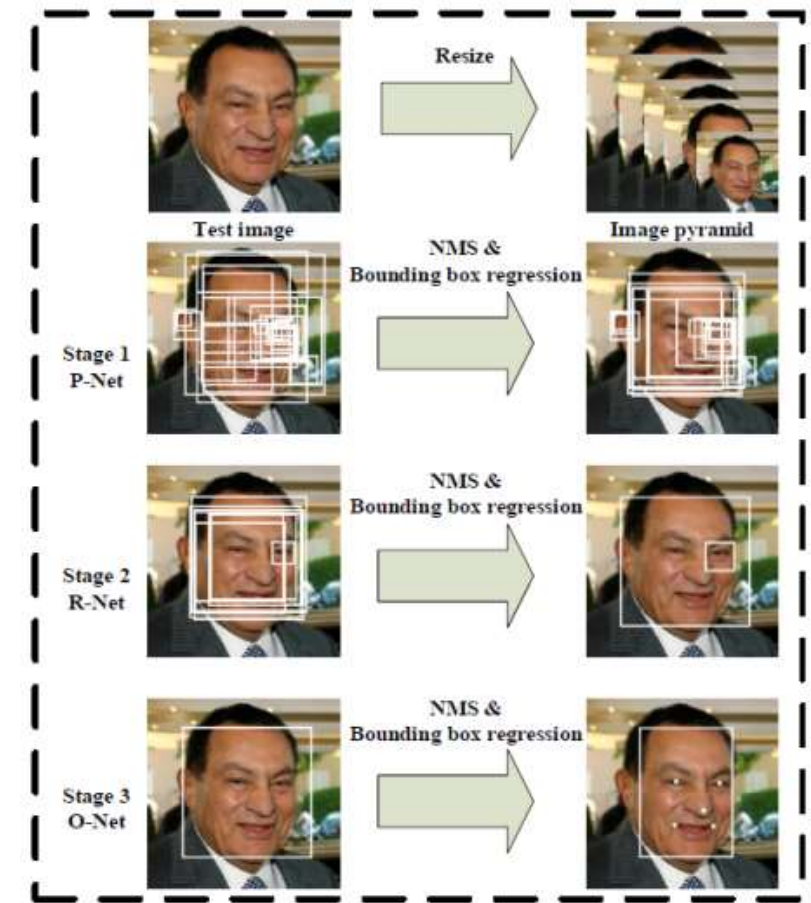
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## 1. Multiple Face Detection using Multi-task cascaded CNNs<sup>[3]</sup>

- deep learning based approach, multi-scale detection
- GPU support using TensorFlow framework



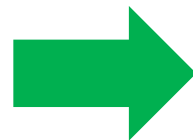
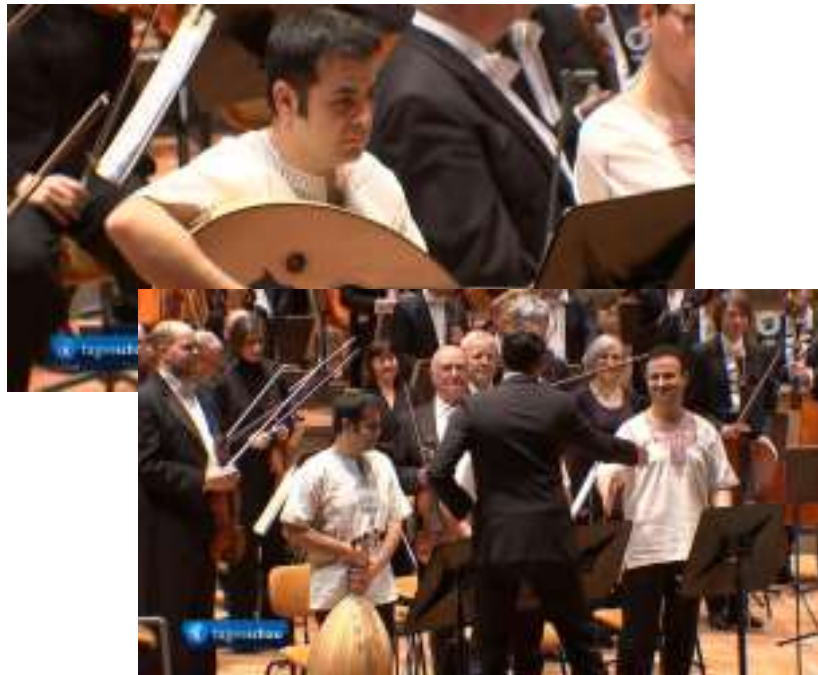
[3] Joint Face Detection and Alignment using Multi-task Cascaded Convolutional Networks; K. Zhang et al.; IEEE Signal Processing Letters (SPL), vol. 23, no. 10, pp. 1499-1503, 2016



# Incremental training approach

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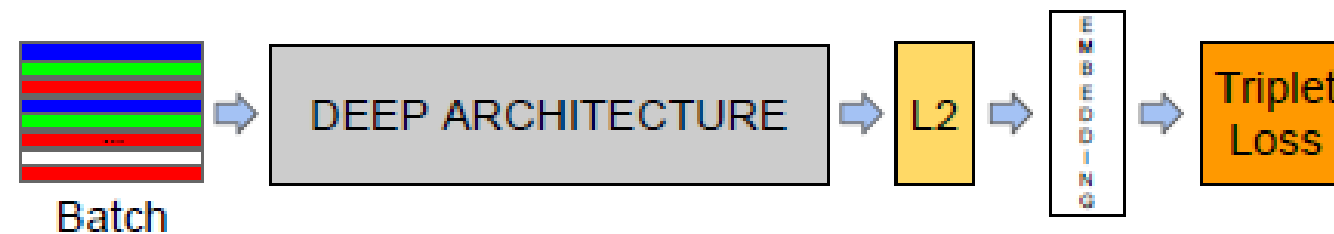
## 2. Alignment (normalization, canonical faces)



from FaceNet: A Unified Embedding for Face Recognition and Clustering,  
Proceedings of the IEEE Computer Society Conference on Computer Vision and Pattern Recognition 2015

# Incremental training approach

- **3. Face feature generation – using FaceNet<sup>[2]</sup>**
  - add FaceNet as feature extractor to the pipeline
  - convolutional neural net trained on large database
  - Inception ResNet v1 (<http://arxiv.org/abs/1602.07261>)
  - trained by stochastic gradient descent / backpropagation
  - very robust to compression artefacts / 128d – vector per norm. face

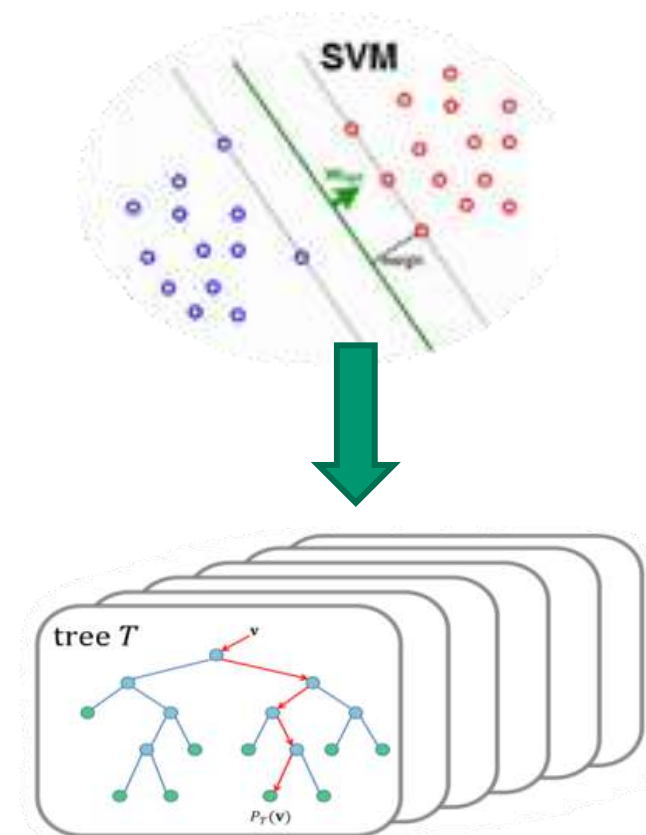


[2] FaceNet: A Unified Embedding for Face Recognition and Clustering,  
Proceedings of the IEEE Computer Society Conference on Computer Vision and Pattern Recognition 2015

# Incremental training approach

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- **4. Substitute SVM by fast, incremental (online) classifier**
- Our choice: “(Online) Random Forest (ORCF) [1]”
- Random Forests
  - many applications e.g.
    - density estimation, manifold learning semi-supervised learning....
  - based on ensemble of binary decision trees
  - simple node-decisions, majority-voting of leafs
  - best trade-off between accuracy and runtime
  - globally optimized classifier!
- “online” is crucial for (fast, interactive) adding of „new“ faces!





# Experiments / Results

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- **Reference Evaluation (FaceNet – comp.)**
  - based on “Labelled Faces in the Wild” (LFW)<sup>[5]</sup>
  - proposed training-evaluation splitting



<https://www.nist.gov/itl/iad/image-group/color-feret-database>

↓

**99.8%** CCR FaceNet-reference setting, SVM  
**95.0%** our incremental approach with ORCF

- Note: Training time is ~10-20ms per each sample for both“
  - But + 1 face (incremental) → 26s for entire LFW (org.)

**but max./const. cost <20ms (for our approach)!!!**

[5] Erik Learned-Miller, Gary B Huang, Aruni RoyChowdhury, Haoxiang Li, and Gang Hua. Labeled faces in the wild: A survey. In *Advances in face detection and facial image analysis*, pages 189-248. Springer, 2016.

# Experiments / Results

## Incremental learning on LFW database

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- **Performance with minimal number of training images**
  - simulates minimalized user labelling
  - 3 - 4 images > 74% CCR / for only one train-image 27.6% CCR!
  - Intel i7-4790 CPU, 3.60GHz, 16GB RAM, NVIDIA GeForce GTX 970

Nr. Training Img. used	Nr. Training Img. total	Nr. Test Img.	Accuracy		Classification-Time per sample	
			Ours	[21]	Ours	[21]
1	1680	7484	27.59%	0.00%	1.37 ms	179.90 ms
2	1802	5804	68.00%	79.00%	0.82 ms	49.06 ms
3	1830	4903	74.16%	95.90%	0.59 ms	18.63 ms
4	1692	4293	86.05%	99.00%	0.45 ms	7.12 ms
5	1555	3870	88.68%	99.20%	0.40 ms	4.07 ms

# Auto-training for unknown persons

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## ■ Auto-training key-properties

- provides grouping of unknown / unlabelled faces
- labelling can be done later (in a post processing step)

## ■ Requirements

- classification with few training samples is possible (proven)
- ORCF provides reliable „confidences“ ( $c_f$ ) for classification results
  - crucial for identification of first novel face!



**Auto-Train algorithm**

# Auto-training for unknown persons

## Algorithm outline

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- **Classification is applied on each image!**
- **$c_f > \text{Conf}_{\min}$  → face reliably classified**
  - selected(!) features are stored for later retraining
  - or ongoing „improvement“ of classifier in FFS
- **Selection of features for FaceFeatureStore (FFS):**
  - **$\text{Conf}_{\min} < c_f < \text{ConfStore}_{\min}$  → face only classified**
  - **$\text{ConfStore}_{\min} < c_f < \text{Conf}_{\text{high}}$** 
    - feature added to FFS, will improve classifier!
    - features near „decision boundary“ contribute a lot (similar to idea of boosting)
  - **$\text{Conf}_{\text{high}} < c_f$** 
    - faces very reliably classified & no information gain in classifier

# Auto-training for unknown persons algorithm outline

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- **$c_f < \text{Conf}_{\min}$  (low confidence classification)**
  - → training of new face (person) or heavily distorted view
    - e.g. caused by viewpoint-change, lighting change, elapsed time (age) etc.
- Note:  **$\text{Conf}_{\min}$**  is very a crucial parameter!
  - (too) low: weak classifiers, flashlights trigger new faces, strongly different faces considered as matching
  - (too high): no robustness to short time distortions, gradual transitions etc.
  - tracking information helps in the case of videos

# Auto-training for unknown persons algorithm outline

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## ■ New face training strategy

- create candidate classifier (temporary updated)
  - multi-presentation for faster adaptation
- check „feasibility“ of updated classifier
- candidate classifier is only accepted if
  - confidence of new face is high  $c_f > \text{Conf}_{\text{high}}$  OR
  - ratio between first/second match is high ( $c_{f,\text{first}} / c_{f,\text{second}} > r_{\text{min}}$ )
- (if number of faces exceeds model → re-training with FFS)

# Auto-training for unknown persons algorithm outline

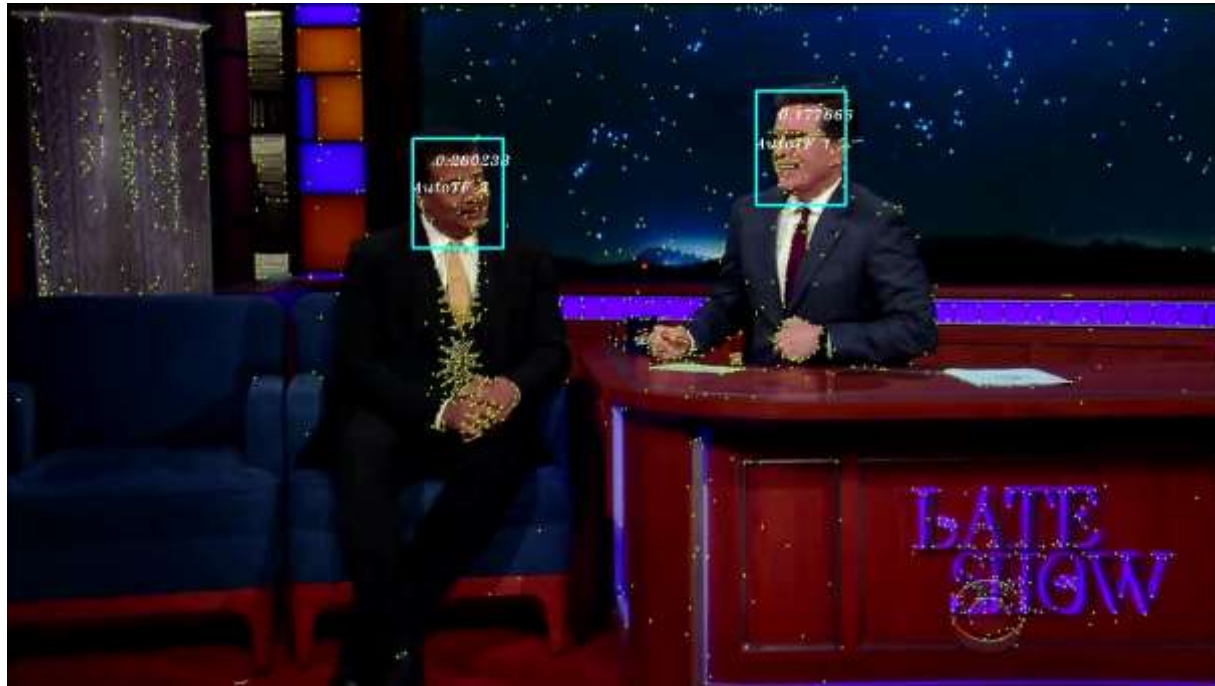
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- **Classifier balancing (adaptation strategy for classifier)**
  - if new features are added
    - either auto-training (new face) or face feature store extension (reliable det. face)
  - focusing on samples yet not well classified
  - iteratively presenting „meaningful“ (not well classified) FFS-samples to get better (adjusted) classifier until convergence criterion is met

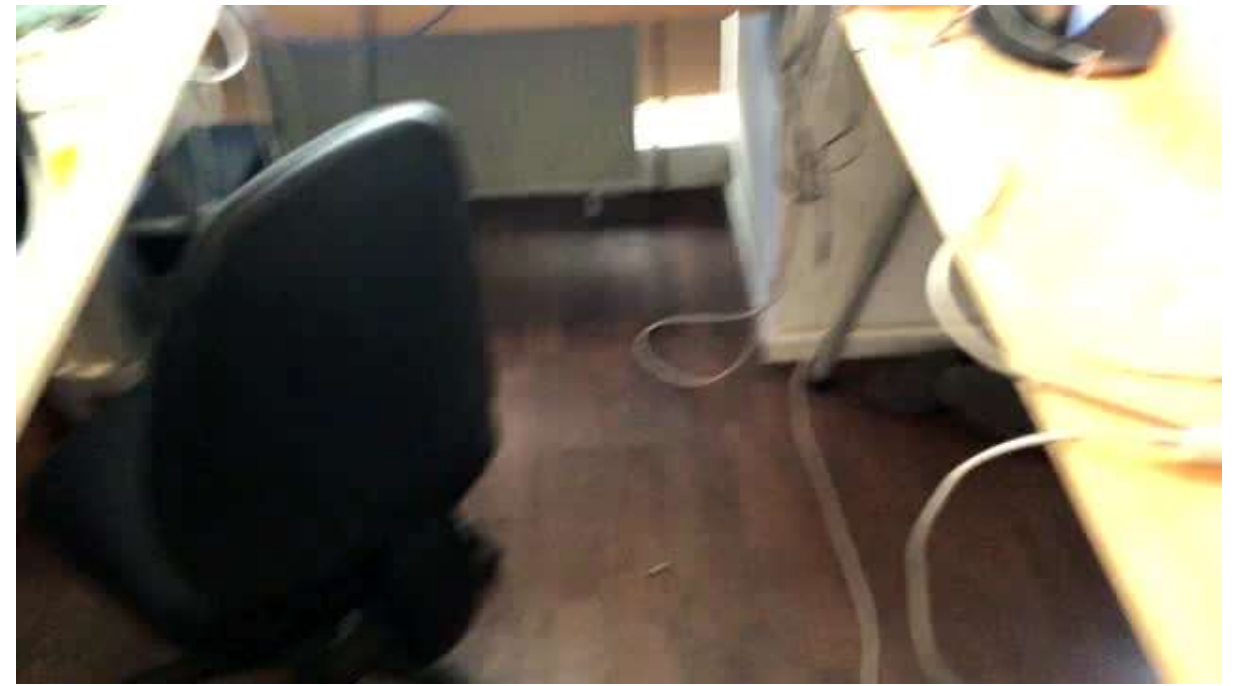
$$(c_f > \text{Conf}_{\text{high}}) \ \& \ (c_{f,\text{first}} / c_{f,\text{second}} > r_{\text{min}})$$

- shuffle features and classes (randomized) avoiding ordering effects
- max. number of iterations to limit balancing time

# Experiments / results



Videos\20181126\_095551\_25\_Faces\_SVN\_12903\_PartialCut.avi



Videos\TAO\_ClassificationAfterAutoTrain.avi



# Summary

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- **New approach for fast, incremental training of faces**
  - based on s.o.a. CNN-based detection and feature extraction
  - Introduce online-learning capability using online random forest for training faces as they arrive → no need for re-training!
  - training with a few samples (even a single) one is possible
  - converges quickly to comparable (s.o.a.) correct classification rate on several DBs
- **New approach for automatic training of unknown faces**
- **Future / outlook**
  - Runtime improvement (C++, real-time)
  - avoid auto train “slipping” effect, large scale classifier optimization, ...

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